

08/29/01  
JC853 U.S. PTO

0830-0

H

# UTILITY PATENT APPLICATION TRANSMITTAL

Our Docket No.: 6871.01 Date: August 29, 2001

First Named Inventor: James R. Hornsby

Title: BUBBLE MAKING AMUSEMENT DEVICE

Express Mail No.: EL 115 561 747 US

1036941473 PTO

08/29/01  
1036941473 PTO

ADDRESS TO: Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

## APPLICATION ELEMENTS

1.  Fee Calculation Sheet  
(Submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status
3.  Specification Total Pages: 17
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed. Sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
4.  Drawings (35 U.S.C. 113) Total Sheets: 5
5.  Oath or Declaration Total Pages: 3
  - a.  Newly Executed (original or copy)
  - b.  Copy from a prior application (37 C.F.R. 1.63(d))  
(for continuation/divisional with Box 19 completed)
    - I.  DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application  
(see 37 C.F.R. 1.63(d)(2) and 1.33(b))
6.  Application Data Sheet (37 C.F.R. 1.76)

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8.  Nucleotide and/or Amino Acid Sequence Submission  
*(If applicable, all necessary)*
  - a.  Computer Readable Form (CRF)
  - b.  Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statement verifying identify of above copies

## ACCOMPANYING APPLICATION PARTS

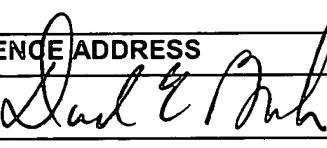
9.  Certification Under 35 U.S.C. 122(b)(2)(B)(i)
10.  Assignment Papers (cover sheet & document(s))
11.  37 C.F.R. 3.73(b) Submission
12.  Power of Attorney
13.  English Translation Document (*if applicable*)
14.  Information Disclosure Statement  
(with Copies of Citations as necessary)
15.  Preliminary Amendment Total Pages:
16.  Return Receipt Postcard  
*(Should be specifically itemized)*
17.  Certified Copy of Priority document(s)  
*(If foreign priority is claimed)*
18.  Other: Check No. 885612 in the amount of \$413.00

## 19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation       Division       Continuation-in-part (CIP)      of prior Application No.:

For continuation or divisional applications: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference.

## CORRESPONDENCE ADDRESS

ATTY NAME	David E. Bruhn, Esq. Reg. No. 36,762	SIGNATURE: 	DATE: August 29, 2001
ADDRESS	DORSEY & WHITNEY LLP Pillsbury Center South 220 South Sixth Street Minneapolis, MN 55402	Customer Number: 25763	
TELEPHONE	612-340-6317		
FAX	612-340-8856		

**APPLICATION  
FEE TRANSMITTAL SHEET  
(FOR FY 2001)**

<i>Complete if Known</i>	
Application No.	Unknown
Filing Date	Herewith
First Named Inventor	James R. Hornsby
Group Art Unit	Unknown
Examiner Name	Unknown
Atty. Docket Number	6871.01

**METHOD OF PAYMENT (Check One)**

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account No.: 04-1420

Deposit Account Name: DORSEY & WHITNEY LLP

Charge any additional fee required under 37 C.F.R. 1.16 and 1.17  
 Applicant claims small entity status (see 37 C.F.R. 1.27)

2.  Check Enclosed

**FEE CALCULATION**

**1. BASIC FILING FEE**

Large Entity      Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)
101	710	201	355
106	320	206	160
108	710	208	355
114	150	214	75

Subtotal (1) \$355.00

**2. EXTRA CLAIM FEES**

	Number Claims	Prior	Extra	Fee from Below	Fee Paid
Total	22	- 20	= 2	x \$9.00	= \$18.00
Indep.	3	- 3	= 0	x \$40.00	= \$0.00
IMultiple Dependent Claims			x		=
				Subtotal (2)	\$18.00

Large Entity      Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent Claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

**3. ADDITIONAL FEES**

Large Entity	Small Entity			Fee paid
105	130	205	65	Surcharge - Late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee or cover sheet
139	130	139	130	Non-English specification
147	2,520	147	2,520	For Filing a Request for Reexamination
115	110	215	55	Extension for reply within first month
116	390	216	195	Extension for reply within second month
117	890	217	445	Extension for reply within third month
118	1,390	218	695	Extension for reply within fourth month
128	1,890	280	945	Extension for reply within fifth month
120	310	220	155	Filing a brief in support of an appeal
121	260	270	135	Request for oral hearing
148	110	248	55	Terminal Disclaimer Fee
140	110	240	55	Petition to revive – unavoidable
141	1,240	241	620	Petition to revive – unintentional
142	1,240	242	620	Utility/Reissue issue fee (inc. advance copies)
143	440	243	220	Design issue fee (inc. advance copies)
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Petitions related to provisional applications
126	180	126	180	Submission of IDS
581	40	81	40	Recording each patent assignment per property (times number of properties)
179	710	279	355	Request for Continued Examination (RCE)
Other fee (specify)				
Subtotal (3)				\$40.00

Total Amount of Payment: \$413.00

Submitted by:

Name: David E. Bruhn, Esq.

Reg. No. 36,762

Telephone: (612) 340-6317

Signature:

Date:

August 29, 2001

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): James R. Hornsby et al.

Docket No.

6871.01

Serial No.  
Not Yet KnownFiling Date  
HerewithExaminer  
Not Yet KnownGroup Art Unit  
Not Yet KnownInvention: **BUBBLE MAKING AMUSEMENT DEVICE**

I hereby certify that this Spec; Drwgs; Check #885612; Appln Trans; Appln Data Sheet; Assignmnt; Decl; POA  
*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  
37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.

20231-0001 on 29 August 2001  
*(Date)***Lia Swope***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***EL 115 651 747 US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

Docket: 6871.01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	James R. Hornsby	
Appln. No.:	Not Yet Known	
Filing Date:	Herewith	Examiner: Not Yet Known
Title:	<b>BUBBLE MAKING AMUSEMENT DEVICE</b>	Group Art Unit: Not Yet Known

**SUBMISSION UNDER 37 C.F.R. § 3.73(b)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Trendmasters, Inc., a Missouri corporation, is an owner of the patent application identified above by virtue of either:

A.  An assignment from the inventor(s) of the patent application identified above, a copy of which is attached.

OR

B.  A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: To:

The document was recorded in the U.S. Patent and Trademark Office at Reel ,  
Frame , or a copy of which is attached.

2. From: To:

The document was recorded in the U.S. Patent and Trademark Office at Reel ,  
Frame , or a copy of which is attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of  
**Trendmasters, Inc.**

Dated: 8/21/01

Signature: Michael J. Wolf

Name: Michael L. Wolf  
Title: Assistant Secretary